

IN THE UNITED STATES DISTRICT COURT
FOR THE MIDDLE DISTRICT OF PENNSYLVANIA

UNITED STATES OF AMERICA :

v. : CRIMINAL NO. 1:CR-00-198 ✓
CRIMINAL NO. 1:CR-00-350 ✓
DAVID ARTHUR LUCAS, : CRIMINAL NO. 1:CR-01-152 ✓
Defendant : CRIMINAL NO. 1:CR-01-182
: (Judge Kane)

TRANSCRIPT OF PROCEEDINGS

CHANGE OF PLEA

Before: Hon. Yvette Kane, Judge

Date: August 23, 2001

Place: Courtroom No. 4
Federal Building
Harrisburg, Pa.

FILED
HARRISBURG, PA.

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MARY E. D'ANDREA CLERK
Per Deputy Clerk

COUNSEL PRESENT:

DENNIS C. PFANNENSCHMIDT, Assistant U.S. Attorney

For - Government

JEFFREY S. HARK, Esquire

For - Defendant

Monica L. Zamiska, RPR
Official Court Reporter

1 THE COURT: Good morning, counsel.

2 MR. PFANNENSCHMIDT: Good morning, Your Honor.

3 MR. HARK: Good morning, Your Honor.

4 MR. PFANNENSCHMIDT: Your Honor, this is the matter
5 of United States v. David Lucas. It's captioned at four
6 different charging documents. They are 1:CR-00-198,
7 1:CR-00-350, 1:CR-01-152 and 1:CR-01-182. In 198 Mr. Lucas
8 is charged in a one count indictment with the offense of bank
9 robbery to which he had previously entered a plea of not
10 guilty. At 350 he's charged in a one count indictment with
11 the offense of bank robbery. This is a Rule 20 from the
12 Western District of Pennsylvania, and this is his first
13 appearance on that matter. In 152 he's charged in a one
14 count information with the offense of bank robbery. This is
15 his first offense, excuse me, first appearance on that
16 matter. In 182 he is charged in a three count information
17 with the offenses of bank robbery, two counts, and using a
18 firearm during a crime of violence. That is a Rule 20 from
19 the Eastern District of Pennsylvania, and it's his first
20 appearance on that matter.

21 Your Honor, there is a plea agreement in this
22 matter that has been reached, and he is present to enter a
23 plea of guilty to each of these charges with Mr. Hark, his
24 counsel.

25 THE COURT: All right. Mr. Lucas, Mr. Hark, is Mr.

1 Pfannenschmidt's representation of the situation here
2 correct?

3 MR. HARK: Correct, Your Honor.

4 THE COURT: Mr. Lucas, we're off to a late start
5 this morning. I understand that your lawyer needed some time
6 to consult with you. You have these three new charges
7 pending. Did you have a chance to go over the charges with
8 your lawyer this morning?

9 THE DEFENDANT: Yes, ma'am.

10 THE COURT: I want you to look at them now. I have
11 case No. 1:CR-01-182 before me. It's an information. It
12 contains two counts, Mr. Pfannenschmidt?

13 MR. PFANNENSCHMIDT: It's actually a three count
14 information, Your Honor. It charges in Count 1 an armed bank
15 robbery, Count 2 is the using a firearm during a crime of
16 violence and Count 3 is also an armed bank robbery.

17 THE COURT: And in this particular case the
18 defendant is going to plead guilty to all three of the
19 counts. Am I right?

20 MR. PFANNENSCHMIDT: Yes, Your Honor.

21 MR. HARK: That is correct, Your Honor.

22 THE COURT: Mr. Lucas, if you would take a copy of
23 the information and follow with me, I'm going to go over the
24 charges with you. The first count alleges that on or about
25 March 22 in the year 2000 in the Eastern District of

1 Pennsylvania that you, the defendant David Lucas, knowingly
2 and unlawfully by force and violence and by intimidation took
3 from employees of the Great Valley Bank at 799 State Street,
4 Pottstown, Pennsylvania, here and after the bank, lawful
5 currency of the United States; that is, approximately \$7,876
6 belonging to and in the care, custody, control, management
7 and possession of the bank, the deposits of which were
8 insured by the F.D.I.C., and in doing so that you, the
9 defendant David Lucas, knowingly and unlawfully assaulted and
10 put in jeopardy the lives of the employees of the bank and
11 other persons by use of a dangerous weapon; that is, an SKS
12 semi-automatic rifle. The information alleges that you did
13 these things in violation of Title 18, United States Code,
14 Section 2113(d).

15 Count 2 of the information alleges that on or about
16 March 22, 2000 in the Eastern District of Pennsylvania that
17 you, the defendant David Lucas, knowingly used, carried and
18 brandished a firearm; that is, an SKS semi-automatic rifle
19 during and in relation to a crime of violence for which you
20 might be prosecuted in a court of the United States; that is,
21 the bank robbery of the Great Valley Bank at 799 State
22 Street, Pottstown, Pennsylvania as charged in Count 1 of this
23 information, and that you did these things in violation of
24 Title 18, United States Code, Section 924(c).

25 MR. HARK: Your Honor, I don't mean to interrupt,

1 but we would waive a reading of the information. He does
2 understand the charges. I have discussed everything with
3 him. If you would feel more comfortable reading from them,
4 that's fine.

5 THE COURT: I do because this is his first
6 appearance.

7 Mr. Lucas, Count 3 alleges that on or about May 24,
8 2000 in the Eastern District of Pennsylvania that you, the
9 defendant David Lucas, knowingly and unlawful by force and
10 violence and by intimidation took from employees of the
11 National Bank of Malvern at 140 West Lancaster Avenue,
12 Frazer, Pennsylvania, here and after the bank, lawful
13 currency of the United States; that is, approximately \$3,193
14 belonging to and in the custody, care and control, management
15 and possession of the bank, the deposits of which were
16 insured by the F.D.I.C., and in so doing that you, the
17 defendant, knowingly and unlawfully assaulted and put in
18 jeopardy the lives of the employees of this bank and other
19 persons by use of a dangerous weapon; that is, a .357
20 revolver in violation of Title 18, United States Code,
21 Section 2113(d).

22 Mr. Lucas, that's the charge in case No. 182. Have
23 you seen the information before today?

24 THE DEFENDANT: Yes, ma'am.

25 THE COURT: Have you had a chance to talk to your

1 lawyer about it before today?

2 THE DEFENDANT: Yes, ma'am.

3 THE COURT: In case No. 152 the charges are also
4 brought by information, and Mr. Pfannenschmidt said the plea
5 agreement indicates that you'll be pleading to the count that
6 is included in that information, the single count, Count 1.
7 It alleges that on or about March 6, 2000 in Fulton County,
8 Pennsylvania and within the Middle District of Pennsylvania
9 and elsewhere that you, the defendant, by force, violence and
10 intimidation did take from the person and presence of another
11 approximately \$9,100 in money belonging to and in the care,
12 custody, control, management and possession of the Fulton
13 County National Bank, Warfordsburg, Pennsylvania, the
14 deposits of which were then insured by the F.D.I.C., in
15 violation of Title 18, United States Code, Section 2113(a).

16 Mr. Lucas, in case No. 350, that's 1:CR-00-350, the
17 indictment alleges that on or about April 15, 2000 in the
18 Western District of Pennsylvania that you, the defendant
19 David A. Lucas, by force, violence and intimidation did take
20 and attempt to take from the person and presence of another
21 money; namely, approximately \$17,934.99 in United States
22 currency belonging to and in the care, custody, control,
23 management and possession of the Keystone Financial Bank
24 located on Old Route 126, Breezewood, Pennsylvania, a
25 financial institution, the deposits of which were then

1 insured by the Federal Deposit Insurance Corporation, and in
2 and effecting said robbery the defendant David A. Lucas did
3 place in jeopardy the life of another person by use of a
4 dangerous weapon, all in violation of Title 18, United States
5 Code, Section 2113(d).

6 Those are the charges that are added in your case.
7 I know there has been some delay in getting you to court on
8 the original charge because of consolidating all of these
9 charges, Mr. Lucas, but it's important that you know that in
10 connection with these newer charges you have all of the same
11 rights that you did for the original charge that was brought
12 in this case, the right to have a lawyer, the right to go to
13 trial in your case, and we're going to go over some of those
14 in a few minutes. Do you feel that you have had adequate
15 time to review these new charges and to proceed with the plea
16 agreement?

17 THE DEFENDANT: Yes, ma'am.

18 THE COURT: Mr. Lucas, in order to take your guilty
19 plea on these charges I'm going to need to ask you a series
20 of questions under oath. What that means is that you'll be
21 waiving what we call your right against self-incrimination.
22 That is, normally you would have a right to decline to
23 discuss these charges with anybody except your lawyer and
24 proceed to trial on the case, but today I'm going to ask you
25 questions about you, about your personal background and about

1 all of these cases. In order to do that I have to place you
2 under oath. You have to waive your right against
3 self-incrimination, and you have to answer all of my
4 questions completely and honestly. If you give a false
5 answer to any question that I ask you, you could be
6 prosecuted for perjury. Do you understand that?

7 THE DEFENDANT: Yes, ma'am.

8 THE COURT: Do you have any questions about any of
9 that?

10 THE DEFENDANT: No, ma'am.

11 THE COURT: How old are you?

12 THE DEFENDANT: Twenty-seven.

13 THE COURT: Do you read and write English?

14 THE DEFENDANT: Yes, ma'am.

15 THE COURT: How far did you go in school?

16 THE DEFENDANT: I graduated.

17 THE COURT: Graduated from high school?

18 THE DEFENDANT: Yes, ma'am.

19 THE COURT: Have you taken any drugs or alcohol
20 before coming into court this morning?

21 THE DEFENDANT: No. No, ma'am.

22 THE COURT: Because you're in custody. Right?

23 THE DEFENDANT: Yeah.

24 THE COURT: All right, are you undergoing any
25 psychological or psychiatric counseling?

1 THE DEFENDANT: No, ma'am.

2 THE COURT: You have been represented by this
3 attorney I believe from the beginning of the charges that
4 were brought. Is that right?

5 THE DEFENDANT: Yes, ma'am.

6 THE COURT: Have you been satisfied with the
7 representation that he's provided to you, Mr. Lucas?

8 THE DEFENDANT: Yes, ma'am.

9 THE COURT: Do you feel that you have had adequate
10 time to discuss all of your options in connection with all of
11 these various charges?

12 THE DEFENDANT: Yes, ma'am.

13 THE COURT: Now some of the charges in this case,
14 the new charges that I just read to you, are brought by what
15 we call information. One of the cases was brought by
16 indictment, and what that means is that Mr. Pfannenschmidt or
17 somebody from his office went in front of a grand jury of
18 between 16 and 23 members and presented the evidence that he
19 had against you and asked them to vote, at least 12 of them
20 to vote, to bring charges against you. In the cases that
21 were brought by information that did not happen. Today, if
22 you proceed and enter a guilty plea on the information, you
23 waive your right to have your case presented to a grand jury
24 and to ask that grand jury to decide whether there is enough
25 evidence to bring charges against you. Do you understand

1 that?

2 THE DEFENDANT: Yes, ma'am.

3 THE COURT: Do you understand, Mr. Lucas, that in
4 connection with all of these cases you're entitled to a jury
5 trial on every single one of them? You could come to this
6 courtroom, and your lawyer would participate in the selection
7 of 12 jurors who would hear your case. I would instruct the
8 jurors that you enjoy what we call the presumption of
9 innocence; that is, as you sit here in this courtroom, they
10 must presume you an innocent man and require the government
11 to approve each and every one of these charges and every
12 element of the charges beyond a reasonable doubt. They
13 couldn't convict you unless the government did that.

14 In an attempt to do that the government would call
15 witnesses and bring other evidence that they may have, and it
16 would be for them to prove the charge beyond a reasonable
17 doubt, to convince all 12 jurors, and all 12 of them would
18 have to vote to find you guilty in order for you to be
19 convicted at trial.

20 You would have certain rights to participate in
21 that trial, but you couldn't be required to do it. You could
22 cross examine all the witnesses that the government brings.
23 You could testify in your own behalf. You could bring any
24 witnesses or evidence that you might have and make it part of
25 the record in this case, and at the conclusion of that trial

1 again all 12 jurors would have to be convinced of your guilt
2 beyond a reasonable doubt. They'd have to be convinced that
3 the government met its burden to prove these charges.

4 Today, if you enter a guilty plea, you're going to
5 give up all of those rights. You give up the right to file
6 any pretrial motions that would apply to your case. Perhaps
7 if you have given a statement, you could challenge the
8 admissibility of that statement into evidence. You could
9 file other motions challenging evidence that the government
10 may be expected to present, and, of course, you would have
11 the right to appeal if the jury found you guilty. Today you
12 give up those rights. This is the final hearing that you'll
13 have except for the sentencing hearing on this case. There
14 will be no determination of your guilt or innocence or the
15 admissibility of any evidence. This will be the last stop
16 for you except for your sentencing. Do you understand that?

17 THE DEFENDANT: Yes, ma'am.

18 THE COURT: Do you have any questions at all about
19 any of that?

20 THE DEFENDANT: No, ma'am.

21 THE COURT: Do you want to waive all of those
22 rights and enter a guilty plea in these cases?

23 THE DEFENDANT: Yes, ma'am.

24 THE COURT: Mr. Pfannenschmidt, would you cite for
25 the record the plea agreement in this case.

1 MR. PFANNENSCHMIDT: Your Honor, briefly, the plea
2 agreement provides that Mr. Lucas agrees to waive indictment
3 where appropriate and to plead guilty to all of the charges
4 in all four of these charging documents. He further agrees
5 to make restitution in the total amount of \$27,332. The
6 government agrees that it will not bring any other charges
7 against Mr. Lucas arising out of the series of events, and it
8 will recommend to the Court a 3 point reduction for
9 acceptance of responsibility if Mr. Lucas so demonstrates and
10 also recommend to the Court a sentence within the applicable
11 guideline range.

12 THE COURT: Mr. Lucas, is that your understanding
13 of the agreement?

14 THE DEFENDANT: Yes, ma'am.

15 THE COURT: There is a written agreement on file
16 with the Court. I'm going to ask Miss Kennedy to show it to
17 you now. Can you tell me whether or not it bears your
18 signature?

19 THE DEFENDANT: Yes, that is my signature.

20 THE COURT: Did you review all of this agreement
21 before you signed it?

22 THE DEFENDANT: Yes, ma'am.

23 THE COURT: It's important to note that it contains
24 a lot of provisions that haven't been recited here, and all
25 of those will apply to your case. What Mr. Pfannenschmidt

1 has stated is sort of a summary of what your agreement is,
2 those are the essential terms, but there are a lot of other
3 terms in here. Did you review all of those with your lawyer?

4 THE DEFENDANT: Yes, ma'am.

5 THE COURT: You're accepting those terms?

6 THE DEFENDANT: Yes, ma'am.

7 THE COURT: Did anybody threaten you, coerce you or
8 make any promises to you that are not contained in the
9 agreement or are not part of the record in this case?

10 THE DEFENDANT: No, ma'am.

11 THE COURT: Do you understand that I'm not bound by
12 the agreement, this is between you and the prosecutor's
13 office? For example, Mr. Pfannenschmidt has indicated that
14 he's going to be recommending to the Court that you be given
15 credit for what we call acceptance of responsibility. I may
16 or may not give you that credit. I have to make an
17 independent determination of whether you're entitled to it.
18 So this is your agreement. He's going to make that
19 recommendation to me, but I may not take his recommendation.
20 Understood?

21 THE DEFENDANT: Yes, ma'am.

22 THE COURT: Any questions about that?

23 THE DEFENDANT: No, ma'am.

24 MR. PFANNENSCHMIDT: Your Honor, if I may, forgive
25 me for interrupting, but I realize one thing in terms of this

1 plea agreement that I had not noticed before. The 924(c)
2 count in this case, it's from the eastern district, and I
3 believe in the plea agreement it references a mandatory
4 minimum of 7 years. I notice that the firearm charge is an
5 SKS rifle, which may qualify as a semi-automatic assault
6 weapon under the law, and I wanted to make sure that it was
7 brought out at this point that that would be a mandatory
8 minimum of 10 years rather than 7 that's in the plea
9 agreement.

10 MR. HARK: And that that would be consecutive. It
11 would not run concurrent. Do you understand that?

12 THE DEFENDANT: Yes.

13 THE COURT: Is this new information for you, Mr.
14 Lucas? Mr. Pfannenschmidt, are you saying that the Court is
15 going to have to make a determination on that issue? That
16 it's possible it will apply or are you certain at this --

17 MR. PFANNENSCHMIDT: I am not certain it applies,
18 but I wanted to make sure that that was made clear at this
19 point, and I apologize, I just realized it.

20 THE COURT: No, I think it's important for this
21 defendant to understand this.

22 Mr. Lucas, what Mr. Pfannenschmidt is saying, it
23 sounds like you are following this very well, and you
24 understand the nuances of your case, but just so we're clear,
25 what he is saying is that you signed this agreement

1 understanding that there was a mandatory minimum for one of
2 these charges for 7 years, and what he's saying is because of
3 the type of weapon involved here that mandatory minimum may
4 in fact be 10 years. And again the lawyers may come to some
5 agreement on this. If they don't, they're going to ask me to
6 make that determination, whether it's a mandatory 7 years or
7 10 years, and without knowing the facts of the case or having
8 looked at the statute now, I don't know how I'm going to
9 decide that, but I may decide that it's a mandatory 10 year
10 minimum.

11 MR. PFANNENSCHMIDT: Do you understand that?

12 THE DEFENDANT: Yes.

13 MR. HARK: Your Honor, I have just spoke with Mr.
14 Pfannenschmidt, the weapon is in the eastern district, so
15 between now and hopefully well before the sentencing date
16 we'll try to get the weapon examined to make a specific
17 determination of what it is, and we'll provide you with that
18 report.

19 THE COURT: Okay. Mr. Lucas, I don't think --
20 right now nobody knows what your penalty is going to be in
21 this case, but I think it's pretty clear you're facing a
22 whole lot of time here, and there will be this mandatory
23 minimum of 10 years. So it's really important that you
24 understand that and you understand the penalties that apply
25 in your case. I'm going to ask Mr. Pfannenschmidt to talk

1 about those penalties, and I want you to listen very
2 carefully and ask me any questions that you have. Okay?

3 THE DEFENDANT: Yes, ma'am.

4 MR. PFANNENSCHMIDT: Your Honor, the maximum
5 penalties for these charges, as I understand them, is at 198,
6 the charge of bank robbery, carries a maximum penalty of 20
7 years imprisonment and a fine of \$250,000. In 350, the bank
8 robbery charge there is armed bank robbery, which carries a
9 maximum penalty of 25 years in prison and a fine of \$250,000.
10 In 152 the bank robbery charge is a 20 year maximum and a
11 \$250,000 fine. And in 182 both counts of bank robbery
12 charged are armed bank robbery, which carry a 25 year maximum
13 imprisonment and a fine of \$250,000 on each count. The
14 924(c) charge in that information, as we just discussed, may
15 have a mandatory minimum of 10 years, if not, it would be 7
16 years, and that has a possibility of a life imprisonment term
17 and a fine of \$250,000.

18 THE COURT: Have counsel discussed with Mr. Lucas
19 whether or not any of the penalties imposed in this case
20 would run concurrent or whether the Court is required to
21 impose concurrent sentences? Is this part of the agreement?

22 MR. HARK: Part of the agreement was that all of
23 the -- other than the 924(c) charge, the indictment Nos. 198
24 and 350 and 152 and 182, but for the third count, would be
25 concurrent, and the 924(c) charge would be consecutive to

1 those concurrent sentences. Is that correct?

2 MR. PFANNENSCHMIDT: Well, actually, I don't
3 believe we actually discussed specifically how the sentences
4 would work. I believe they operate by operation of law,
5 which I believe in this case the Court -- the way that
6 technically is fashioned, I believe that they would --
7 normally what the Court does is you impose a sentence, and
8 then that sentence applies to all of them, and they run
9 concurrently to each other. I don't believe we explicitly
10 agreed that that would be the case, but that would be my
11 expectation.

12 MR. HARK: It sounds like the same thing, Your
13 Honor.

14 THE COURT: Well, it may be an important point
15 because if the guidelines require this, then, of course, I
16 will follow the guideline law, and I will in all cases, but
17 if it's not required by the guidelines and I have discretion
18 in the matter, then I may not. Do you believe the guidelines
19 require it, Mr. Pfannenschmidt?

20 MR. PFANNENSCHMIDT: I don't believe there is an
21 explicit requirement of concurrent time among the charges to
22 which he's pled guilty at one time. I believe that normally
23 is an issue when there is other charges or other sentencings
24 from other courts. Normally it is not an issue in terms of
25 these particular set of charges.

1 THE COURT: Does the probation office have any
2 opinion on that issue?

3 MR. VOUGHT: Your Honor, in the bank robbery case
4 where there is multiple counts, the multiple count grouping
5 rule would apply in the sentencing guidelines. He would get
6 an incremental penalty for each additional bank robbery
7 beyond the first, and therefore the guidelines would suggest
8 or not necessarily require but suggest concurrent terms.

9 MR. HARK: That was where I was coming from, Your
10 Honor.

11 MR. VOUGHT: But for the 924(c), of course.

12 THE COURT: Suggests but not requires.

13 MR. VOUGHT: I don't think there is a requirement
14 for it.

15 MR. HARK: Your Honor, it was my understanding as
16 part of the plea agreement that that's the direction that we
17 were heading because -- and that's why everything was
18 transferred to this district as opposed to having a one
19 strike in the western district and one strike -- two strikes
20 in the eastern district and then coming here and it would be
21 a three strike scenario in terms of a mandatory life term due
22 to a prior record.

23 THE COURT: All right. Mr. Lucas, once again the
24 important point of all of this is that the decision on how
25 you're going to be sentenced and how much you're going to be

1 sentenced is mine. If there is an opportunity for me to
2 impose other than concurrent time, I might do it. It sounds
3 like everybody here is on the same page except me because I
4 don't know yet, I haven't seen -- I don't know the details of
5 these offenses, I don't know anything about your background,
6 but you're entering this with an expectation that there is
7 going to be concurrent time on all of these cases followed by
8 a lengthy mandatory sentence on the weapon charge, but I
9 don't know that. I'm not guaranteeing you that. Do you
10 understand that?

11 THE DEFENDANT: Yes, ma'am.

12 THE COURT: Do you have any questions about that?

13 THE DEFENDANT: No, ma'am.

14 THE COURT: Again you're looking at a huge amount
15 of time here, especially with the mandatory count on the
16 weapon charge. You heard Mr. Pfannenschmidt, he said it's
17 either a 7 or a 10 year mandatory minimum, but it goes to
18 life. The Court could impose as much as a life sentence on
19 that charge. That's a lot. And again because I don't know
20 anything about you, I don't know what your sentence is going
21 to be. So you enter a guilty plea subjecting yourself to any
22 lawful sentence that I can impose in your case, including up
23 to a life sentence.

24 MR. HARK: Do you understand that?

25 THE DEFENDANT: Yes.

1 THE COURT: Any questions about that?

2 THE DEFENDANT: No, ma'am.

3 THE COURT: Has anybody promised you what your
4 sentence would be in this case?

5 THE DEFENDANT: No, ma'am.

6 THE COURT: Have you talked to your lawyer about
7 the guidelines and how they apply to your case?

8 THE DEFENDANT: Yes, ma'am.

9 THE COURT: You heard a little bit about them here.
10 What's going to happen is the probation office is going to
11 undertake an extensive investigation of you, your personal,
12 social, criminal history, financial history, and they're
13 going to write a report to the Court that will include all of
14 that information and then everything about each one of these
15 offenses, including how much money was involved, the impact
16 on the victims. You and your lawyer will have a chance to
17 review all of that before I see it, and you will respond to
18 anything in the probation office report that you think is
19 inaccurate or incomplete, and you will have an opportunity to
20 add anything that you want to say about the report. All of
21 that information will come back to me, and we'll have another
22 hearing when there will be a determination of your sentence,
23 but the probation office is going to calculate the guideline
24 range that applies to your case.

25 Every one of these cases has a maximum statutory

1 penalty, but that maximum statutory penalty may or may not
2 actually apply or be imposed in your case because based on
3 the particular offense and your background, there is going to
4 be a calculation of what the appropriate range is in your
5 case. In most of the cases the judges have to sentence
6 within that range, it would be either a term of months or
7 years, and then only in exceptional cases where there is
8 something special about you or about the offense that
9 occurred, could I sentence you above the guideline range or
10 below the guideline range. So right now we don't know what
11 that calculation of the guideline range will be that applies
12 to your case. We only know that in most cases I will
13 sentence you within the guideline range, but for
14 extraordinary circumstances I might go above that.

15 The other thing you need to know about sentencing
16 in this case is that, of course, you'll be sentenced to a
17 period of incarceration, and you'll not be released early on
18 parole. That is, if you have ever done any state time, you
19 have probably got a substantial portion of that sentence cut
20 off at the end because you were released on parole. That
21 doesn't happen in the federal system. You get some credit
22 for good time, but you don't get released early on parole
23 months or years ahead of time. Any questions about that?

24 THE DEFENDANT: No, ma'am.

25 THE COURT: Mr. Lucas, really the most important

1 thing is that nobody knows what your sentence is going to be
2 in this case, and when you enter a guilty plea, you expose
3 yourself to the maximum that could be imposed in your case.
4 You take a risk by coming here and entering a guilty plea.
5 Do you understand that?

6 THE DEFENDANT: Yes, ma'am.

7 THE COURT: Any questions at all about that or
8 about anything that we have discussed so far?

9 THE DEFENDANT: No, ma'am.

10 THE COURT: Do you understand that if you're
11 dissatisfied with the sentence you draw in this case, it will
12 be too late, there is nothing you can do? You can't withdraw
13 your guilty plea because you think the sentence is too harsh
14 or it's more than you thought it would be.

15 MR. HARK: Do you understand that?

16 THE DEFENDANT: Yes, sir, I understand.

17 THE COURT: Mr. Pfannenschmidt, what is the factual
18 basis for these cases?

19 MR. PFANNENSCHMIDT: Your Honor, if the case would
20 have gone to trial, the government would present evidence of
21 five bank robberies as follows: The first occurred on March
22 6 of 2000 at the Fulton County Bank in Warfordsburg,
23 Pennsylvania. At that time an individual carrying an SKS
24 rifle entered the bank and demanded money, received \$9,100
25 and left the bank.

1 On March 22 of 2000 the Great Valley Bank in
2 Pottstown, Pennsylvania was robbed of \$7,876 by an individual
3 who was carrying an SKS rifle. He then left the bank after
4 he obtained the money.

5 The third was on April 15 of 2000 in Breezewood,
6 Pennsylvania, the Keystone Financial Bank was robbed of
7 \$1,500 by an individual carrying a handgun.

8 The fourth was in Frazer, Pennsylvania, the
9 National Bank of Malvern was robbed on May 24 of 2000 of
10 \$3,193 by an individual carrying a handgun.

11 And on July 11 of 2000 the Pennsylvania State Bank
12 in Gettysburg, Pennsylvania was robbed of \$5,663 by an
13 individual carrying a handgun.

14 The descriptions of the robber in each case were
15 similar, and each of the banks was at that time insured by
16 the Federal Deposit Insurance Corporation.

17 On July 12 of 2000 the police in Millville, New
18 Jersey received a call indicating that Mr. Lucas, the
19 defendant, was on his way to assault an individual. Based on
20 that information they located the vehicle being driven by Mr.
21 Lucas and attempted to stop it. A chase ensued when the
22 driver Mr. Lucas fled in the vehicle. He was pursued into
23 Wildwood Crest, New Jersey and eventually was stopped near
24 the beach.

25 Mr. Lucas exited the vehicle, fled on foot on the

1 beach, was pursued by officers and apprehended. At the time
2 he was in possession of a black plastic bag which contained
3 United States currency. He was then identified as the
4 defendant David Arthur Lucas.

5 The vehicle that Mr. Lucas was driving was checked
6 and was found to have been stolen from Huntingdon,
7 Pennsylvania on July 4, 2000, and the police there were
8 contacted and got a physical description of the individual
9 wanted for the armed bank robbery in Gettysburg on July 11
10 and determined that Mr. Lucas matched that description. He
11 was then advised of his rights, and after waiving his rights
12 indicated that he had in fact robbed the five banks as
13 indicated.

14 Also the police obtained physical evidence from the
15 searches of the vehicle and other vehicles that were found
16 after the other robberies and obtained information that also
17 indicated that Mr. Lucas was the individual who had committed
18 the robberies.

19 Also found in the vehicle Mr. Lucas was driving on
20 July 12 was a .32 caliber handgun that was determined to have
21 been carried during the last robbery in Gettysburg.

22 THE COURT: Mr. Lucas, are those things true?

23 THE DEFENDANT: Yes, ma'am.

24 THE COURT: Mr. Pfannenschmidt is saying that
25 that's the evidence in a capsule version of what he's going

1 to present at trial to convict you of all of these charges.
2 Do you understand that?

3 THE DEFENDANT: Yes, ma'am.

4 THE COURT: Anything that he said for the record
5 that you want to correct or quarrel with?

6 THE DEFENDANT: No, ma'am.

7 THE COURT: You agree with everything he said?

8 THE DEFENDANT: Yes, ma'am.

9 THE COURT: Is it still your desire to enter a
10 guilty plea as we stated?

11 THE DEFENDANT: Yes, ma'am.

12 THE COURT: The Court finds that the defendant has
13 knowingly and intelligently waived presentment by indictment
14 in cases Nos. 1:CR-01-152 and 1:CR-01-182 and agreed to
15 proceed on information, that he's acting voluntarily, that no
16 force, threats or promises apart from the plea agreement have
17 been made to him, that he understands the rights and
18 consequences of entering a guilty plea on these cases and
19 that he is voluntarily waiving his right to trial. The Court
20 also finds that the plea has a basis in fact and contains all
21 of the elements of the crimes charged, accordingly the Court
22 accepts the defendant's guilty plea on Counts 1, 2 and 3 of
23 case No. 1:CR-01-182, Count 1 of 1:CR-01-152, Count 1 of
24 1:CR-00-198 and Count 1 of 1:CR-00-350. The Court will defer
25 sentencing pending the report of the probation office.

1 Counsel, is there anything else for the record?

2 MR. PFANNENSCHMIDT: Your Honor, I believe, just to
3 clarify, at counsel's request I just wanted to make sure that
4 the intent of the parties as to this concurrent issue is
5 clear. What we're referring to is that it's the intent of
6 the parties by Mr. Lucas entering the plea on all of these
7 charges together that the grouping rules would in fact apply,
8 and that therefore he would avoid serving consecutive
9 sentences for each of these in either different jurisdictions
10 or at different times.

11 THE COURT: Very well.

12 MR. HARK: And more specifically, Your Honor, as
13 opposed to having one indictment with 18 counts, this is two
14 informations and two indictments, but the intention is that
15 they still be grouped as one. Although there is four pleas
16 to four different charges, it's still a grouping for the
17 sentencing guidelines, and I just want to inquire with my
18 client that although it is not explicit, that if there is not
19 direct sentencing guidelines that require the judge to
20 sentence you concurrently, the judge could sentence you
21 consecutively. Do you understand the difference between
22 consecutive and concurrent?

23 THE DEFENDANT: Yes.

24 MR. HARK: Just so we're clear, a concurrent
25 sentence means that if you plead guilty to indictment 198 and

1 then you plead guilty to indictment 152, that a concurrent
2 sentence means you serve both sentences at the same time.
3 Whereas a consecutive sentence means you must serve all your
4 time on indictment 198 and then begin serving your sentence
5 on 152. Do you understand that?

6 THE DEFENDANT: Yes.

7 MR. HARK: And the judge may or may not be bound by
8 what our intention is, my intention and the U.S. Attorney's
9 intention is regarding that concurrent or consecutive
10 sentence. Do you understand that?

11 THE DEFENDANT: Yes.

12 MR. HARK: And the judge could give you a
13 consecutive sentence for each of the two indictments and each
14 of the two informations that you signed in addition to the
15 mandatory consecutive on the 924(c) weapons charge. Do you
16 understand that?

17 THE DEFENDANT: Yes.

18 MR. HARK: Do you still want to have the judge
19 accept your guilty plea today?

20 THE DEFENDANT: Yes.

21 THE COURT: All right, we'll be in recess.

22 Mr. Hark, would you stop in chambers please. I'd
23 like to have a word with you.

24 MR. HARK: Yes, Your Honor, thank you.

25 (The proceedings concluded.)

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3 I hereby certify that the proceedings and evidence
4 of the court are contained fully and accurately in the notes
5 taken by me on the change of plea of the within cause and
6 that this is a correct transcript of the same.

7 Monica L. Zamiska

8 Monica L. Zamiska, RPR

9 Official Court Reporter
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